



11/14/00

FORM PTO-1082

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Case Docket No. SJO920000173  
November 14, 2000  
Express Mail Label No. EL484106211US  
0035.0004

Dear Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): D.A. Farias and R.A. Romo  
For: **REPLENISHMENT MANAGEMENT SYSTEM AND METHOD**

Enclosed are:

- ☒ 8 No. of Sheets of Drawings Sheet(s) of drawings ( ☒ informal) + 0 extra copies;  
36 pages of Application; 17 pages of specification, 1 page of abstract  
An assignment of the invention to International Business Machines Corporation. ( ☒ Will follow.)  
An associate power of attorney.  
A verified statement to establish small entity status under 37 CFR 1.9 and 1.27.  
☒ Unsigned Declaration and Power of Attorney. ( ☐ Will follow.)  
Certified copy of Patent Application No. filed from which priority is claimed under 35 U.S.C. §119.  
IDS enclosed. ☐ with references.

CALCULATION OF FEES					
ITEM	NO. OF CLAIMS FILED MINUS BASE*	NO. OF CLAIMS OVER BASE	X SM/LG ENTITY FEE	\$ AMOUNT	\$ FEE
A TOTAL CLAIMS FEE	65 - 20* =	45	X \$9 or \$18	\$810	
B INDEPENDENT CLAIMS FEE**	10 - 3* =	7	X \$40 or \$80	\$560	
C SUBTOTAL - ADDITIONAL CLAIMS FEE (ADD FINAL COLUMN IN LINES A + B)					\$1,370
D MULTIPLE-DEPENDENT CLAIMS FEE				SMALL ENTITY FEE = \$135; LARGE ENTITY FEE = \$270	\$0
E BASIC FEE*				SMALL ENTITY FEE = \$355; LARGE ENTITY FEE = \$710	\$710
F TOTAL FILING FEE (ADD TOTALS FOR LINES C, D, AND E)					\$2,080
G ASSIGNMENT RECORDING FEE				\$ 40	\$0
**LIST INDEPENDENT CLAIMS 1, 13, 18, 20, 37, 39, 51, 56, 58					

Please charge Dep. Acct. No. 50-0585 in the amount of

\$

A copy of this sheet is enclosed.

- ☒ A check in the amount of \$ 2,080 to cover the filing fee is enclosed.  
Check for \$        covering the Recordation of Assignment fee enclosed.  
☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-0585. **A copy of this sheet is enclosed.**  
☒ Any additional filing fees required under 37 CFR 1.16.  
☒ Any patent application processing fees under 37 CFR 1.17.  
☐ The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 50-0585. **A copy of this sheet is enclosed.**  
☐ Any patent application processing fees under 37 CFR 1.17.  
☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.



24033

PATENT TRADEMARK OFFICE

Respectfully submitted,

*Richard K. Yoon*

Richard K. Yoon  
Registration No. 42,247

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Direct Telephone Calls to:

Express Mail Label No. EL484106211US  
PATENT  
SJO920000173  
0035.0004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application for  
D.A. Farias and R.A. Romo  
Serial No.: --  
Filed: November 14, 2000  
For: **REPLENISHMENT  
MANAGEMENT SYSTEM AND  
METHOD**

Examiner: --

Art Unit: --



CERTIFICATE OF MAILING

Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

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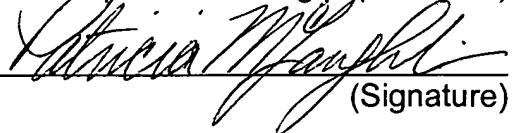
I hereby certify that patent application papers, including specification, claims and 8 sheets of informal drawings are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

November 14, 2000

(Date of Deposit)

Patricia McLaughlin

(Name of person mailing paper or fee)

  
(Signature)